Programming Paradigms 3rd Lecture

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Important questions ...

- ... which a syntax description (alone) cannot answer:
 - What is the formal meaning of a (concrete) program?
 - When are two programs equivalent?
 - How can we prove what a program does?
 - Can one determine the behavior of a program from that of its syntactic building blocks?
 - Is it even possible to separately define the formal meaning of individual language constructs?
 - When is a program correct?

What does one actually mean by "correct"?

Partial correctness:

- Provision of input/output specifications:
 - Which inputs are needed?
 - What requirements do these inputs have to satisfy so that the program works?
 - Which outputs, with which properties, are produced?
- Every produced output satisfies the above output specification, if the inputs did satisfy the input specification.
- But it is not necessarily guaranteed that an output is always produced at all.

Total correctness: partial correctness + successful termination

Hoare triples as verification formulas

We use "Hoare triples" as input/output specifications, notation:

$$\{ P \}$$

$$\{ P \} C \{ Q \}$$
 or $\{ Q \}$

where:

- C is a program piece (syntax), and
- P and Q are assertions about the state space of the (whole) program: logic expressions, containing program variables.

Beispiele: (not necessarily true propositions!)

- ► { (x==0) && (y==z) } x=1; x=2*x; { (x>1) && ((y+z)!=3) }
- ▶ { x==0 } x=x+1; { x==0 }

Hoare triples as verification formulas

Hoare triple $\{P\} \subset \{Q\}$ to be read as:

- ▶ If in some program (memory) state the assertion *P* holds,
- ▶ one then executes the program piece C and it terminates,
- ▶ then in the state afterwards the assertion *Q* definitely holds.

(For concrete P, C, Q what is said above can be true or not.)

Notes:

- This describes only partial correctness.
- The validity of Q after execution of C is really relevant for every state in which P holds¹.
- Hence: P "alone" must be enough for the desired conclusion (so must be a strong enough assertion).
- But we are interested in the weakest assertion P with that property.
- $^1\ldots$ and in which C terminates \ldots

Hoare triples as verification formulas

Some "special cases" and their meaning:

{ true } C { Q }

 \dots means that whenever program piece C terminates, afterwards Q holds (without any particular preconditions).

- { false } C { Q } ... means practically nothing. (Why?)
- { P } C { true } ... ditto.
- { P } C { false }
 - \dots means that starting from states in which *P* holds, the execution of C never terminates.

The Hoare calculus

... is meant to:

- make sure that assertions are used correctly,
- describe the transformation of assertions under execution of programs, and
- ultimately prove the truthfulness of verification formulas.

To this aim:

- formal capturing of the impact of basic language constructs on assertions
- rules to derive true verification formulas for larger programs from true verification formulas for program pieces

Self-imposed restrictions

```
#include <stdio.h>
int main()
{ int n,s,i;
  scanf("%d",&n);
  s = 0;
  i = 1:
  while (i<=n)
     \{ s=s+i*i;
       i = i + 1;
  printf("%d",s);
  return 0;
}
```

We only consider such program pieces (particularly, no input/output, and only certain control structures)

Rules for conditionals

Recall, from formal syntax description:

$$\rightarrow$$
 (if) \rightarrow () \rightarrow Expression \rightarrow () \rightarrow Statement \rightarrow

 \rightarrow (if) \rightarrow () \rightarrow Expression \rightarrow () \rightarrow Statement \rightarrow else \rightarrow Statement

So when does $\{P\}$ if (T) C else D $\{Q\}$ hold?

- In every state in which P holds, it could be the case that T holds, or not; depending on that then execution of C or D.
- ▶ In any case, afterwards *Q* should hold.
- In the one case, we could express this requirement through the verification formula { P && T } C { Q }, in the other through the verification formula { P && !T } D { Q }.
- Since we need to be prepared for both cases, the following rule:

$$\frac{\{P\&\&\mathsf{T}\}C\{Q\}}{\{P\} \text{ if } (\mathsf{T})C \text{ else } D\{Q\}} \mathsf{CR}$$

Rules for conditionals

And what about $\{P\}$ if (T) C $\{Q\}$?

- Again, in every state in which P holds, T either does or does not hold.
- ▶ In the one case, again reasonably require: $\{P\&\&T\}C\{Q\}$.
- But in the other case?
 - Since no execution of C (or of anything) in that case, simply require nothing additionally at all? Not a good idea!
 - Simply require $P \equiv Q$? Does not consider the "T-case"!
 - So require $(P\&\& !T) \equiv Q$? Too strong!
 - Solution: require (P && !T) ⇒ Q ! ("⇒" = logical implication, nothing to do with the Hoare calculus specifically)

Hence, rule variant:

$$\frac{\{P\&\&T\}C\{Q\} \quad (P\&\&!T) \Rightarrow Q}{\{P\} \text{ if } (T)C\{Q\}} CR$$

Rules for assignment statements

In some sense the most simple kind of statement, but semantics surprisingly subtle.

First some examples of verification formulas that should be true:

How could we capture all these cases in a uniform way, and do so by formulating a weakest precondition?

Rules for assignment statements

A minimal (and actually sufficient) requirement to hold before an assignment x=e; so that afterwards Q holds, is that (beforehand) the assertion Q holds with all occurrences of x replaced by e.

Notation for the thus newly formed assertion: Q_{e}^{X}

Examples:

$$(x==42)_{42}^{x} = (42==42)$$

$$(x==1)_{x+1}^{x} = (x+1==1)$$

$$(x==1)_{y+1}^{x} = (y+1==1)$$

$$(x!=z)_{y}^{z} = (x!=y)$$

$$(x!=y)_{y}^{z} = (x!=y)$$

Rules for assignment statements

And indeed, it makes sense that:

Hence, reasonable rule (actually, an axiom):

$$\{Q_{e}^{X}\}$$
 x=e; $\{Q\}$ AA

However, we wanted to show 1. above under the precondition *true* (not under the precondition 42==42), as well as 2. under the precondition x==0 (not under the precondition x+1==1), etc.

Hence, rule variant:

$$\frac{P \Rightarrow Q_{e}^{X}}{\{P\} x=e; \{Q\}} AA$$

Combination \rightsquigarrow **Proof trees**

Proof for "more complex" programs by plugging together individual rule applications:

$$\begin{array}{c} \hline (true \&\& (x<0)) \Rightarrow ((-x)>=0) \\ \hline \\ \hline \{true \&\& (x<0)\} \\ x=-x; \\ \{x>=0\} \\ \hline \\ \hline \\ \{true\} \text{ if } (x<0) x=-x; \{x>=0\} \end{array} \text{ CR}$$

(AA = Assignment Axiom, CR = Conditional Rule)

Still open proof obligations (purely mathematical/logical expressions) are displayed in frames here, and from now on.

Further useful rules

To "cut" larger program pieces (SR = Sequence Rule):

$$\frac{\{P\} C \{R\}}{\{P\} C D \{Q\}} SR$$

Potentially existing block markings are silently removed:

$$\frac{\{P\} C \{Q\}}{\{P\} \{C\} \{Q\}}$$
 (often not even denoted in the tree)

For "managing" pre- and postconditions (SP = Stronger Precondition, WP = Weaker Postcondition):

$$\frac{P \Rightarrow R \quad \{R\} \subset \{Q\}}{\{P\} \subset \{Q\}} SP$$

$$\frac{\{P\} \subset \{R\} \quad R \Rightarrow Q}{\{P\} \subset \{Q\}} WP$$

Key challenge: Dealing with loops

For simplicity, only while-loops

When does $\{P\}$ while (T) C $\{Q\}$ hold?

- As with if, we know that before (every) execution of program piece C here, the condition T holds.
- We also know that after finishing the loop (not just its body C), the condition T does not anymore hold.
- We know that during the first execution of the body C of the loop, beside T also P holds.
- Unfortunately, we do not necessarily know that this is also the case during further executions of the body.
- If we allow ourselves the assumption, though, that C does not change the truth of P (called loop invariant!), then:

here *P* usually named as *Inv* $\frac{\{P\&\&T\}C\{P\}}{\{P\}\text{ while }(T)C\{P\&\&!T\}}$ IR (= Iteration Rule)

Let us consider:



respectively:

#include <stdio.h>

```
int main()
{ int a,b;
    scanf("%d",&a);
    scanf("%d",&b);
    while (b>0)
        { if (a>b) a=a-b;
        else b=b-a; }
    printf("%d",a);
    return 0; }
```

Verification goal:

{ (a==A) && (b==B) && (a>0) && (b>=0) }
while (b>0) { if (a>b) a=a-b; else
$$b=b-a; }{ a==gcd(A, B) }$$

Verification goal:

Obviously, we will need to apply the iteration rule:

$$\frac{\{ Inv \&\& T \} C \{ Inv \}}{\{ Inv \} while (T) C \{ Inv \&\& !T \}} IR$$

Since a = gcd(A, B) does not cover !(b>0), we need to add (at least) that, via the rule for weaker postcondition:

$$\frac{\{ \text{Inv }\&\&(b>0) \} \dots \{ \text{Inv } \}}{\{ \text{Inv } \}} \text{ IR} \\ \frac{\{ \text{Inv } \}}{\text{while } (b>0) \{ \dots \}} \underbrace{(\text{Inv }\&\& !(b>0)) \Rightarrow (a==gcd(A,B))}_{\{ \dots \} \text{ while } (b>0) \{ \dots \} \{ a==gcd(A,B) \}} \text{ WP}$$

But the loop invariant cannot simply be the originally given P, which was: (a==A)&&(b==B)&&(a>0)&&(b>=0). (Why?)

Hence, also application of the rule for stronger precondition:

$$\frac{\{ Inv \&\&(b>0) \} \dots \{ Inv \}}{\{ Inv \}} \text{ IR} \\ \frac{\{ Inv \} \\ \text{while } (b>0) \{ \dots \} \\ \{ Inv \&\& !(b>0) \} \\ \{ P \} \text{ while } (b>0) \{ \dots \} \{ Inv \&\& !(b>0) \} \\ \text{SP}$$

So the "only" remaining problem now is to find Inv such that:

1.
$$\frac{\vdots}{\{ \text{Inv }\&\&(b>0) \} \text{ if } (a>b) a=a-b; \text{ else } b=b-a; \{ \text{Inv } \}}$$
2.
$$\boxed{P \Rightarrow \text{Inv}}$$
3.
$$\boxed{(\text{Inv }\&\& !(b>0)) \Rightarrow (a==gcd(A,B))}$$

Idea: Exploit that the *gcd* of a and b does not change when one subtracts one from the other.

So, Inv could be: (gcd(a,b) = gcd(A,B)) &&(a>0) &&(b>=0)

check that 2. and 3. hold!

To then establish the required

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:
{
$$Inv \&\&(b>0)$$
 } if (a>b) a=a-b; else b=b-a; { Inv }

which is still open, first an application of the conditional rule:

$$\begin{array}{l} \left\{ \textit{Inv \&\&(b>0)\&\&(a>b)} \right\} & \left\{ \textit{Inv \&\&(b>0)\&\& !(a>b)} \right\} \\ a=a-b; & b=b-a; \\ \left\{ \textit{Inv } \right\} & \left\{ \textit{Inv } \right\} \\ \hline \left\{ \textit{Inv \&\&(b>0)} \right\} \text{ if } (a>b) a=a-b; \text{ else } b=b-a; \left\{ \textit{Inv } \right\} \end{array} \\ \end{array} \\ \\ \begin{array}{l} \mathsf{CR} \end{array}$$

... and then in both branches an assignment axiom on top:

$$\frac{\left| (Inv \&\&(b>0) \&\&(a>b)) \Rightarrow Inv_{a-b}^{a} \right|}{\{ Inv \&\&(b>0) \&\&(a>b) \} a=a-b; \{ Inv \} } AA$$

and

$$\underbrace{(\mathit{Inv} \&\&(b>0) \&\& !(a>b)) \Rightarrow \mathit{Inv}_{b-a}^{b} }_{\{\mathit{Inv} \&\&(b>0) \&\& !(a>b) \} b=b-a; \{\mathit{Inv} \}} AA$$

Due to Inv being (gcd(a,b)==gcd(A,B))&&(a>0)&&(b>=0),

The proof obligations still to prove (see above) do indeed hold!

A concrete example: Complete proof tree



where

$$\begin{array}{l} P \mbox{ is: } (a==A) \&\& (b==B) \&\& (a>0) \&\& (b>=0) \\ Inv \mbox{ is: } (gcd(a,b)==gcd(A,B)) \&\& (a>0) \&\& (b>=0) \end{array}$$

Summary of the Hoare calculus rules

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$$\frac{\{P\&\&\,T\}\,C\,\{Q\} \qquad \{P\&\&\,1T\}\,D\,\{Q\}}{\{P\}\text{ if }(T)\,C\text{ else }D\,\{Q\}}\,CR$$

$$\frac{\{P\&\&\,T\}\,C\,\{Q\} \qquad (P\&\&\,1T)\Rightarrow Q}{\{P\}\text{ if }(T)\,C\,\{Q\}}\,CR$$

$$\frac{\{P\&\&\,T\}\,C\,\{Q\} \qquad (P\&\&\,1T)\Rightarrow Q}{\{P\}\text{ if }(T)\,C\,\{Q\}}\,CR$$

$$\frac{\{P\&\,T\}\,C\,\{Q\} \qquad AA \qquad \frac{P\Rightarrow\,Q_e^{X}}{\{P\}\,X=e;\,\{Q\}}\,AA$$

$$\frac{\{P\}\,C\,\{R\} \qquad \{R\}\,D\,\{Q\} \qquad SR}{\{P\}\,C\,D\,\{Q\}}\,SR$$

$$\frac{P\Rightarrow\,R \qquad \{R\}\,C\,\{Q\} \qquad SP \qquad \frac{\{P\}\,C\,\{R\} \qquad R\Rightarrow\,Q}{\{P\}\,C\,\{Q\}}\,WP$$

$$\frac{\{Inv\&\,T\}\,C\,\{Inv\} \qquad WP}{\{Inv\}\text{ while }(T)\,C\,\{Inv\&\,1T\}}\,IR$$

#include <stdio.h> int main() { **int** n.s.i; scanf("%d",&n); s = 0;i = 1: while (i<=n) $\{ s=s+i*i:$ i=i+1: printf("%d",s); return 0: }

Example run:

- ▶ n==3, s==0, i==1
- ▶ n==3, s==1, i==1
- ▶ n==3, s==1, i==2
- ▶ n==3, s==5, i==2
- ▶ n==3, s==5, i==3
- ▶ n==3, s==14, i==3
- ▶ n==3, s==14, i==4

Verification goal:

$$\begin{array}{l} \{ \text{ (n>=0) \&\&(s==0) \&\&(i==1) } \} \\ \text{while } (i<=n) \{ s=s+i*i; i=i+1; \} \\ \{ s==\sum_{j=1}^{n} j^2 \} \end{array}$$

Verification goal:

$$\begin{array}{l} \{ (n >= 0) \&\&(s == 0) \&\&(i == 1) \} \\ \text{while } (i <= n) \{ s = s + i * i; i = i + 1; \} \\ \{ s == \sum_{j=1}^{n} j^2 \} \end{array}$$

Again, as in previous example, use of SP and WP rules, towards:

$$\begin{array}{c} \vdots \\ \hline \hline \{ \textit{Inv \&\& (i <= n) \} s=s+i*i; i=i+1; \{ \textit{Inv } \} } \\ \hline \{ \textit{Inv } \} \textit{ while } (i <= n) \{ s=s+i*i; i=i+1; \} \{ \textit{Inv \&\& !(i <= n) } \} \end{array} | R \\ \end{array}$$

Where for the still to determine *Inv* it should hold that:

1.
$$((n>=0)\&\&(s==0)\&\&(i==1)) \Rightarrow Inv$$

2.
$$(Inv \&\& !(i <= n)) \Rightarrow (s == \sum_{j=1}^{n} j^2)$$

Where for the still to determine Inv it should hold that:

1.
$$((n \ge 0) \&\&(s = 0) \&\&(i = 1)) \Rightarrow Inv$$

2.
$$(Inv \&\& !(i <= n)) \Rightarrow (s == \sum_{j=1}^{n} j^2)$$

To determine the loop invariant, recall:

Aha! Inv is: $(0 < i < =n+1) \&\&(s == \sum_{j=1}^{i-1} j^2)$ (and that even satisfies 1. and 2.)

So what remains to establish:

$$\{ Inv \&\& (i \le n) \} s=s+i*i; i=i+1; \{ Inv \}$$

with *Inv* being $(0 < i < =n+1) \&\& (s = = \sum_{j=1}^{i-1} j^2)$

Twice assignment axiom (before that, sequence rule):

Remains to check:

$$\begin{array}{c} ((0 < i < =n) \&\&(s == \sum_{j=1}^{i-1} j^2)) \\ \Rightarrow ((0 < i+1 < =n+1) \&\&(s + i * i == \sum_{j=1}^{i} j^2)) \end{array}$$

Application to another example: Complete proof tree

$$\underbrace{ \begin{array}{c} \underbrace{(\ln v \&\&(i < = n)) \Rightarrow (\ln v_{i+1}^{i})_{S+i * i}^{S}}_{\{\ln v \&\&(i < = n)\}} & AA & \underbrace{\{\ln v_{i+1}^{i}\}}_{i=i+1;} & AA \\ & \underbrace{\{\ln v_{i+1}^{i}\}}_{S=S+i * i;} & \underbrace{\{\ln v_{i+1}^{i}\}}_{i=i+1;} & AA \\ & \underbrace{\{\ln v_{i+1}^{i}\}}_{\{S=S+i * i;} & \underbrace{\{\ln v\}}_{S=S+i * i;} & IR \\ & \underbrace{\{\ln v\}}_{\{\ln v\}} & IR \\ & \underbrace{\{\ln v\}}_{\{\ln v \&\&(i < = n)\}} & IR \\ & \underbrace{\{\ln v \&\&(i < = n)\}}_{\{\ln v \&\&\&(i < = n)\}} & SP \\ & \underbrace{\{(n > = 0)\&\&(s = = 0)\&\&(i = = 1)\}}_{\{(n > = 0)\&\&(i = = 1)\}} & SP \\ & \underbrace{\{(n > = 0)\&\&(i < = n)\}}_{\{(n > = 0)\&\&(i < = n)\}} & SP \\ & \underbrace{\{(n > = 0)\&\&(i < = n)\}}_{\{(n > = 0)\&\&(i = = 1)\}} & WP \\ & \underbrace{\{(n > = 0)\&\&(i < = n)\}}_{\{s = s + i * i; i = i + 1;\}} & WP \\ & \underbrace{\{(n > = 0)\&\&(i < = n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & WP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{(n > 0)\&\&(i < n)\}}_{\{s = s - \sum_{i=1}^{n} j^{2}\}} & UP \\ & \underbrace{\{$$

where

Inv is:
$$(0 < i < n+1) \&\& (s = \sum_{j=1}^{i-1} j^2)$$