# Programming Paradigms 3rd Lecture

Prof. Janis Voigtländer

University of Duisburg-Essen

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### Important questions . . .

- . . . which a syntax description (alone) cannot answer:
	- $\triangleright$  What is the formal meaning of a (concrete) program?
	- $\triangleright$  When are two programs equivalent?
	- $\blacktriangleright$  How can we prove what a program does?
	- $\triangleright$  Can one determine the behavior of a program from that of its syntactic building blocks?
	- $\blacktriangleright$  Is it even possible to separately define the formal meaning of individual language constructs?
	- $\triangleright$  When is a program correct?

# What does one actually mean by "correct"?

#### Partial correctness:

- $\blacktriangleright$  Provision of input/output specifications:
	- $\triangleright$  Which inputs are needed?
	- $\triangleright$  What requirements do these inputs have to satisfy so that the program works?
	- $\triangleright$  Which outputs, with which properties, are produced?
- $\blacktriangleright$  Every produced output satisfies the above output specification, if the inputs did satisfy the input specification.
- $\triangleright$  But it is not necessarily guaranteed that an output is always produced at all.

Total correctness: partial correctness  $+$  successful termination

## Hoare triples as verification formulas

We use "Hoare triples" as input/output specifications, notation:

$$
\begin{array}{c}\n \{P\} \\
 C \\
 \{P\} C \{Q\} \quad \text{or} \quad \{Q\}\n \end{array}
$$

where:

- $\triangleright$  C is a program piece (syntax), and
- $\triangleright$  P and Q are assertions about the state space of the (whole) program: logic expressions, containing program variables.

Beispiele: (not necessarily true propositions!)

$$
\blacktriangleright \{x == 0\} x = x + 1; \{x == 1\}
$$

- $\blacktriangleright$  { (x==0) && (y==z) } x=1; x=2\*x; { (x>1) && ((y+z)!=3) }
- $\triangleright$  { x==0 } x=x+1; { x==0 }

# Hoare triples as verification formulas

Hoare triple  $\{P\} \subset \{Q\}$  to be read as:

- If in some program (memory) state the assertion  $P$  holds,
- $\triangleright$  one then executes the program piece C and it terminates,
- In the state afterwards the assertion  $Q$  definitely holds.

(For concrete  $P$ , C,  $Q$  what is said above can be true or not.)

#### Notes:

- $\blacktriangleright$  This describes only partial correctness.
- $\triangleright$  The validity of Q after execution of C is really relevant for every state in which  $P$  holds<sup>1</sup>.
- Hence:  $P$  "alone" must be enough for the desired conclusion (so must be a strong enough assertion).
- $\triangleright$  But we are interested in the *weakest* assertion P with that property.
- $1 \ldots$  and in which  $C$  terminates  $\ldots$

# Hoare triples as verification formulas

Some "special cases" and their meaning:

- $\blacktriangleright$  { true }  $C$  {  $Q$  }
	- ... means that whenever program piece C terminates, afterwards Q holds (without any particular preconditions).
- $\blacktriangleright$  { false } C { Q } . . . means practically nothing. (Why?)
- $\blacktriangleright$  {  $P$  } C { true } . . . ditto.
- $\blacktriangleright$  {  $P$  } C { false }
	- $\ldots$  means that starting from states in which P holds, the execution of C never terminates.

# The Hoare calculus

. . . is meant to:

- $\triangleright$  make sure that assertions are used correctly,
- $\triangleright$  describe the transformation of assertions under execution of programs, and
- $\blacktriangleright$  ultimately prove the truthfulness of verification formulas.

To this aim:

- $\triangleright$  formal capturing of the impact of basic language constructs on assertions
- $\triangleright$  rules to derive true verification formulas for larger programs from true verification formulas for program pieces

# Self-imposed restrictions

```
\#include \ltstdio.h>int main()
  int n, s, i;s c a n f ( "%d",&n ) ;
   s = 0:
   i = 1;
   while (i \le n)\{ s=s+i * i ;
        i = i + 1;
      }
   p r i n t f ( "%d" , s ) ;
   return 0;
}
                           \int
```
We only consider such program pieces (particularly, no input/output, and only certain control structures)

# Rules for conditionals

Recall, from formal syntax description:

$$
(if) *() \rightarrow Expression *() \rightarrow Statement
$$

So when does  $\{P\}$  if  $(T)$  C else  $D \{Q\}$  hold?

- In every state in which P holds, it could be the case that  $T$ holds, or not; depending on that then execution of C or D.
- In any case, afterwards  $Q$  should hold.
- In the one case, we could express this requirement through the verification formula  $\{P \&& T\} \subset \{Q\}$ , in the other through the verification formula  $\{ P \& Q \mid D \{ Q \}$ .
- $\triangleright$  Since we need to be prepared for both cases, the following rule:

$$
\frac{\{P\&&\mathsf{T}\} \mathsf{C} \{Q\} \qquad \{P\&&\mathsf{I}\mathsf{T}\} \mathsf{D} \{Q\}}{\{P\} \text{ if } (\mathsf{T}) \mathsf{C} \text{ else } \mathsf{D} \{Q\}} \mathsf{CR}
$$

# Rules for conditionals

#### And what about  $\{P\}$  if  $(T)$  C  $\{Q\}$ ?

- $\triangleright$  Again, in every state in which P holds, T either does or does not hold.
- In the one case, again reasonably require:  $\{P \&\& T\} \subset \{Q\}$ .
- $\blacktriangleright$  But in the other case?
	- $\triangleright$  Since no execution of C (or of anything) in that case, simply require nothing additionally at all? Not a good idea!
	- ► Simply require  $P \equiv Q$ ? Does not consider the "T-case"!
	- ► So require  $(P & Q \setminus T) \equiv Q$ ? Too strong!
	- $\triangleright$  Solution: require (P & & !T)  $\Rightarrow$  Q!  $(\omega \Rightarrow \omega'')$  = logical implication, nothing to do with the Hoare calculus specifically)

 $\blacktriangleright$  Hence, rule variant:

$$
\frac{\{P\&&\mathsf{T}\} \mathsf{C} \{Q\} \qquad (P\&&\mathsf{I}\mathsf{T}) \Rightarrow Q}{\{P\} \text{ if } (\mathsf{T}) \mathsf{C} \{Q\}} \mathsf{CR}
$$

## Rules for assignment statements

$$
\qquad \qquad \text{Ident} \rightarrow \text{Expression} \longrightarrow
$$

In some sense the most simple kind of statement, but semantics surprisingly subtle.

First some examples of verification formulas that should be true:

1. { true } x=42; { x==42 } 2. { x==0 } x=x+1; { x==1 } 3. { y==0 } x=y+1; { x==1 } 4. { x==y } x=x+1; { x==y+1 } 5. { x!=y } z=x; { z!=y } 6. { x!=y } z=y; { x!=z } 7. { x!=y } z=y; { x!=y }

How could we capture all these cases in a uniform way, and do so by formulating a weakest precondition?

# Rules for assignment statements

A minimal (and actually sufficient) requirement to hold before an assignment  $x=$ e; so that afterwards  $Q$  holds, is that (beforehand) the assertion  $Q$  holds with all occurrences of x replaced by e.

Notation for the thus newly formed assertion:  $Q_{\text{e}}^{\times}$ 

Examples:

\n
$$
(x == 42)^{X}_{42} = (42 == 42)
$$
\n

\n\n $\times (x == 1)^{X}_{X+1} = (x + 1 == 1)$ \n

\n\n $\times (x == 1)^{X}_{Y+1} = (y + 1 == 1)$ \n

\n\n $\times (x != z)^{Z}_{Y} = (x != y)$ \n

\n\n $\times (x != y)^{Z}_{Y} = (x != y)$ \n

# Rules for assignment statements

And indeed, it makes sense that:

1. 
$$
\{42 == 42\} \times = 42
$$
;  $\{x == 42\}$   
\n2.  $\{x+1 == 1\} \times = x+1$ ;  $\{x == 1\}$   
\n3.  $\{y+1 == 1\} \times = y+1$ ;  $\{x == 1\}$   
\n4. ...

Hence, reasonable rule (actually, an axiom):

$$
\overline{\{Q^{\sf X}_{\sf e}\}\times = {\sf e}; \{Q\}}^{\sf A A}
$$

However, we wanted to show 1. above under the precondition true (not under the precondition  $42=42$ ), as well as 2. under the precondition  $x == 0$  (not under the precondition  $x+1 == 1$ ), etc.

Hence, rule variant:

$$
\frac{P \Rightarrow Q_{\mathsf{e}}^{\mathsf{X}}}{\{P\} \times = \mathsf{e}; \{Q\}} \mathsf{AA}
$$

# Combination  $\rightsquigarrow$  Proof trees

Proof for "more complex" programs by plugging together individual rule applications:

$$
\frac{\boxed{(\text{true} \& \& (x<0)) \Rightarrow ((-x)>=0)}{\{ \text{true} \& \& (x<0) \}}}{\text{A4}}
$$
\n
$$
\frac{\{ \text{true} \& \& (x<0)} \}{\{ x>=0 \}} \qquad \qquad \boxed{(\text{true} \& \& ((x<0)) \Rightarrow (x>=0)} \}
$$
\n
$$
\{ \text{true} \} \text{ if } (x<0) \times = -x; \{ x>=0 \}
$$
\nCR

 $(AA = \text{Assignment Axiom}, \text{CR} = \text{Conditional Rule})$ 

Still open proof obligations (purely mathematical/logical expressions) are displayed in frames here, and from now on.

### Further useful rules

To "cut" larger program pieces  $(SR = Sequence Rule)$ :

$$
\frac{\{P\} C \{R\} \quad \{R\} D \{Q\}}{\{P\} C D \{Q\}} SR
$$

Potentially existing block markings are silently removed:

$$
\frac{\{P\} \subset \{Q\}}{\{P\} \{C\} \{Q\}}
$$
 (often not even denoted in the tree)

For "managing" pre- and postconditions  $(SP =$  Stronger Precondition, WP = Weaker Postcondition):

$$
\frac{P \Rightarrow R \quad \{R\} \subset \{Q\}}{\{P\} \subset \{Q\}} \text{SP}
$$
\n
$$
\frac{\{P\} \subset \{R\} \quad R \Rightarrow Q}{\{P\} \subset \{Q\}} \text{WP}
$$

# Key challenge: Dealing with loops

# For simplicity, only while-loops

✲ while ✎ ✍ ☞ ✌ ✲ ( ✎ ✍ ☞ ✌ ✲ Expression ✲ ) ✎ ✍ ☞ ✌ ✲ Statement ✲

When does  $\{P\}$  while  $(T)$  C  $\{Q\}$  hold?

- $\triangleright$  As with if, we know that before (every) execution of program piece C here, the condition T holds.
- $\triangleright$  We also know that after finishing the loop (not just its body C), the condition T does not anymore hold.
- $\triangleright$  We know that during the first execution of the body C of the loop, beside T also P holds.
- $\triangleright$  Unfortunately, we do not necessarily know that this is also the case during further executions of the body.
- If we allow ourselves the assumption, though, that  $C$  does not change the truth of  $P$  (called loop invariant!), then:

 $\{P \&& T\} \subset \{P\}$ <br> $\{P\}$  while  $(T) \subset \{P \&& T\}$  IR (= Iteration Rule) here  $P$  usually named as Inv

Let us consider:



respectively:

 $#include$   $<$  stdio .h>

i n t main ( ) { i n t a , b ; s c a n f ( "%d",&a ) ; s c a n f ( "%d",&b ) ; whil e ( b>0) { i f ( a>b ) a=a−b ; e l s e b=b−a ; } p r i n t f ( "%d" , a ) ; r e t u r n 0 ; }

Verification goal:

$$
\{(a==A) & (b==B) & (a>0) & (b>=0)\}
$$
\nwhile (b>0) {if (a>b) a=a-b; else b=b-a;}  
\n
$$
\{a==gcd(A, B)\}
$$

Verification goal:

$$
\{(a==A) & (b==B) & (a>0) & (b>=0) \}
$$
\nwhile (b>0) {if (a>b) a=a-b; else b=b-a;}  
\n
$$
\{a==gcd(A, B)\}
$$

Obviously, we will need to apply the iteration rule:

$$
\frac{\{ \text{Inv } \& \& T \} \subset \{ \text{Inv } \}}{\{ \text{Inv } \} \text{ while } (T) \subset \{ \text{Inv } \& \& T \} }
$$
IR

Since  $a=\gcd(A, B)$  does not cover !(b>0), we need to add (at least) that, via the rule for weaker postcondition:

$$
\frac{\{ \text{Inv } \& \& (b > 0) \} \dots \{ \text{Inv } \}}{\{ \text{Inv } \& \& (b > 0) \}} \text{ IR}
$$
\nwhile (b > 0) {...}  
\n
$$
\frac{\{ \text{Inv } \& \& (b > 0) \}}{\{ \dots \} \text{ while } (b > 0) \}} \frac{(\text{Inv } \& \& (b > 0)) \Rightarrow (a == \text{gcd}(A, B))}{\{ \dots \} \text{ while } (b > 0) \} \text{ WP}}
$$

But the loop invariant cannot simply be the originally given  $P$ , which was:  $(a == A) \& (b == B) \& (a > 0) \& (b > = 0)$ . (Why?)

Hence, also application of the rule for stronger precondition:

$$
\frac{\{ \ln v \& \& (b > 0) \} \dots \{ \ln v \}}{\{ \ln v \}}
$$
IR  
while (b > 0) {...}  

$$
\frac{P \Rightarrow \ln v}{\{ P \} \text{ while } (b > 0) \} \dots \{ \ln v \& \& I(b > 0) \}}
$$
SP  

$$
\{ P \} \text{ while } (b > 0) \{ ... \} \{ \ln v \& \& I(b > 0) \}
$$

So the "only" remaining problem now is to find *lnv* such that: .

1.  
\n{ 
$$
Inv &\&(b>0)
$$
 } if (a>b) a=a-b; else b=b-a; {  $Inv$  }  
\n2.  $P \Rightarrow Inv$   
\n3.  $((Inv &\& [(b>0)) \Rightarrow (a==gcd(A, B))]$ 

Idea: Exploit that the gcd of a and b does not change when one subtracts one from the other.

So, Inv could be:  $(gcd(a, b) = gcd(A, B)) \& (a > 0) \& (b > = 0)$ 

check that 2. and 3. hold!

To then establish the required

. . .  $\{Inv&&(b>0)\}$  if  $(a>b)$  a=a-b; else b=b-a;  $\{Inv\}$ 

which is still open, first an application of the conditional rule:

$$
{lnv \&&(b>0) \&&(a>b)} \qquad {lnv \&&(b>0) \&&(a>b)}a=a-b; \qquad b=b-a; \qquad {lnv} \qquad {lnv} \qquad {lnv} \qquad {lnv} \qquad {lnv} \qquad {lnv \&&(b>0)} \text{ if } (a>b) a=a-b; \text{ else } b=b-a; {lnv} \qquad {lnv} \qquad
$$

. . . and then in both branches an assignment axiom on top:

$$
\frac{\left[\left(\ln v \&& (b>0) \&& (a>b)\right)\Rightarrow \ln v_{a-b}^{a}\right]}{\left\{\ln v \&& (b>0) \&& (a>b)\right\} a=a-b; \{ \ln v \}} \text{AA}
$$

and

$$
\frac{(Inv & & (b>0) & & (a>b)) \Rightarrow Inv_{b-a}^b}{\{ Inv & & & (b>0) & & (a>b) \} b = b - a; \{ Inv \} }
$$
AA

Due to Inv being  $(gcd(a, b) = gcd(A, B)) \& (a > 0) \& (b > 0)$ ,

\n- $$
Inv_{a-b}^{a}
$$
 is:\n  $(gcd(a-b, b) == gcd(A, B)) && (a-b > 0) && (b > = 0)$ \n
\n- $Inv_{b-a}^{b}$  is:\n  $(gcd(a, b-a) == gcd(A, B)) && (a > 0) && (b-a > = 0)$ \n
\n

The proof obligations still to prove (see above) do indeed hold!

#### A concrete example: Complete proof tree



where

P is: 
$$
(a == A) \& \& (b == B) \& \& (a > 0) \& \& (b > = 0)
$$
  
\nInv is:  $(\text{gcd}(a, b) == \text{gcd}(A, B)) \& \& (a > 0) \& \& (b > = 0)$ 

## Summary of the Hoare calculus rules

$$
\frac{\{P \& \& T\} \ C \{Q\} \ \{P \& \& T\} \ D \{Q\}}{\{P\} \text{ if } (T) \ C \text{ else } D \{Q\}} \ C R
$$
\n
$$
\frac{\{P \& \& T\} \ C \{Q\} \ \ (P \& \& T) \Rightarrow Q}{\{P\} \text{ if } (T) \ C \{Q\}} \ C R
$$
\n
$$
\frac{\{P \& \& T\} \ C \{Q\}}{\{Q_{e}^{\times}\} \times = e; \{Q\}} \ A A \qquad \frac{P \Rightarrow Q_{e}^{\times}}{\{P\} \times = e; \{Q\}} \ A A
$$
\n
$$
\frac{\{P\} \ C \{R\} \ \{R\} \ D \{Q\}}{\{P\} \ C \ D \{Q\}} \ S R
$$
\n
$$
P \Rightarrow R \ \{R\} \ C \{Q\} \ S P \ \frac{\{P\} \ C \{R\} \ \ R \Rightarrow Q}{\{P\} \ C \{Q\}} \ W P
$$
\n
$$
\frac{\{Inv \& \& T\} \ C \{Inv\}}{\{Inv\} \ \text{while } (T) \ C \{Inv \& Z\} \ T\} \ I R}
$$

 $\#$ include  $\lt$ stdio.h $>$  $int$  main()  $\{$  int n, s, i; s c a n f ( "%d",&n ) ;  $s = 0$ ;  $i = 1$ ; while  $(i < = n)$  $\{$  s=s+i  $*$  i ;  $i = i + 1$ ; } p r i n t f ( "%d" , s ) ; return  $0$ ; }

Example run:

- $\blacktriangleright$  n==3, s==0, j==1
- $\blacktriangleright$  n==3, s==1, i==1
- $\blacktriangleright$  n==3, s==1, i==2
- $\triangleright$  n==3, s==5, i==2
- $\blacktriangleright$  n==3, s==5, i==3
- $\blacktriangleright$  n==3, s==14, i==3
- $\triangleright$  n==3, s==14, j==4

Verification goal:

$$
\{(n>=0) \& \& (s==0) \& \& (i==1) \}
$$
\nwhile (i<=n) {s=s+i\*i; i=i+1;} 
$$
\{s==\sum_{j=1}^{n} j^{2}\}
$$

Verification goal:

$$
\begin{array}{l} \{ \, (n\mathord{>}=0) \, \&\, \& \, (s==0) \, \&\, \& \, (i==1) \, \} \\ \text{while } (i\mathord{<}=\mathsf{n}) \, \{s=s+i*i; \, i=i+1; \} \\ \{ \, s==\sum_{j=1}^{n} j^2 \, \} \end{array}
$$

Again, as in previous example, use of SP and WP rules, towards:

$$
\frac{\frac{1}{\{Inv & \& (i <=n)\} \text{ s=s+!*i; i=i+1; } \{Inv\}}}{\{Inv\} \text{ while } (i <=n) \{ \text{s=s+!*i; i=i+1; } \{ Inv & \& \& !(i <=n) \}} \text{ IR}}
$$

Where for the still to determine *lnv* it should hold that:

1. 
$$
((n>=0) \& ((s==0) \& ((i==1)) \Rightarrow \ln v)
$$

2. 
$$
(\ln v \&& (i \leq n)) \Rightarrow (s == \sum_{j=1}^{n} j^2)
$$

Where for the still to determine *lny* it should hold that:

1. 
$$
((n>=0) \&\&(s==0) \&\&(i==1)) \Rightarrow \ln v
$$

2. 
$$
\left| (\ln v \&& \frac{1}{2} \times (-\infty)) \Rightarrow (\mathsf{s} == \sum_{j=1}^{n} j^2) \right|
$$

To determine the loop invariant, recall:

\n- $$
n == 3, s == 0, i == 1
$$
\n- $n == 3, s == 1, i == 1$
\n- $n == 3, s == 1, i == 2$
\n- $n == 3, s == 5, i == 2$
\n- $n == 3, s == 5, i == 3$
\n- $n == 3, s == 14, i == 3$
\n

Aha! *Inv* is:  $(0 < i < = n+1)$  && $(s == \sum_{j=1}^{i-1} j^2)$ (and that even satisfies 1. and 2.)

$$
\bullet \ \ n == 3, \ s == 14, \ i == 4
$$

So what remains to establish:

$$
\frac{\vdots}{\{\ln v \&& (i<=n)\} \text{ s=s+i} \text{~i;~ i=i+1;~} \{\ln v\}}
$$

with *Inv* being (0<i<=n+1)&&(s== $\sum_{j=1}^{i-1} j^2$ )

Twice assignment axiom (before that, sequence rule):

$$
\frac{\boxed{(\ln v \& \& (i <=n)) \Rightarrow (\ln v \frac{i}{i+1})_{S+i \times i}^{S}}}{\{ \ln v \& \& (i <=n) \} \text{ s=s+i \times i}; \{ \ln v \frac{i}{i+1} \} \qquad \{ \ln v \frac{i}{i+1} \} \text{ i=i+1}; \{ \ln v \} }
$$
AA  
\n
$$
\{ \ln v \& \& (i <=n) \} \text{ s=s+i \times i}; \text{ i=i+1}; \{ \ln v \} \qquad \text{SR}
$$

Remains to check:

$$
\begin{array}{|l|l|}\n((0 < i < = n) \& \& (s == \sum_{j=1}^{i-1} j^2)) \\
\Rightarrow ((0 < i+1 < = n+1) \& \& (s+i * i == \sum_{j=1}^{i} j^2))\n\end{array}
$$

# Application to another example: Complete proof tree

$$
\frac{\left(\ln v \& \& (i <=n)\right) \Rightarrow (\ln v_{i+1}^i)_{s+1}^s\right)}{\{ \ln v \& \& (i <=n) \}\n\qquad\n\text{AA}\n\qquad\n\frac{\{ \ln v_{i+1}^i\}}{\{ \ln v_{i+1}^i\}}\n\qquad\n\text{AA}\n\qquad\n\frac{\{ \ln v_{i+1}^i\}}{\{ \ln v_{i+1}^i\}}\n\qquad\n\frac{\{ \ln v_{i+1}^i\
$$

where

*Inv* is: 
$$
(0 < i < = n+1) \& \& (s == \sum_{j=1}^{i-1} j^2)
$$